

# Risky business

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Regulatory partner [Susan Humble](#) looks at the consequences of regulatory investigations and how professionals can manage their regulatory obligations and lower their exposure to risk.

Professionals understand that heavy responsibilities come along with the benefits of their status. Accountants, architects, bankers, barristers, dentists, educators, healthcare professionals, journalists, pharmacists, pilots, solicitors, surveyors, and vets (to name but a few professions) are highly regulated.

Suppose you get it wrong? In the most serious of cases, you could face the possibility of criminal proceedings and separate proceedings brought against you by your regulator. Those proceedings can, at worst, lead to the loss of your means of making a living. And while proceedings are underway, both your and your family's lives are put under extreme pressure, and relationships often flounder under such strain.

Moreover, the cost of defending proceedings without insurance can be unexpectedly high. The regulatory effort can often get in the way of your work, putting you and your business under more pressure. And, whatever the outcome, the reputational damage may be hard to get over, and your business may fail.

Our specialist regulatory team can provide you and your business with a regulatory health check. Our health check offers reassurance and ensures you are well prepared to meet any regulatory challenge.

Our experts will review your policies, procedures, and controls to help you manage the burden of keeping your regulator happy and the risk of a minor issue escalating into something more serious. So let us take the strain while you concentrate on your core business.

Contact [Susan Humble](#) for further information.

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Note: This article is not legal advice; it provides information of general interest about current legal issues.

